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| *Responsible Procurement / Supply Chain Guide* *Estates: Catering Produce* | *APUC_logo* |

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| Aim and Benefits of this guide |
| There is scope through the way we manage our supply chain, to create a positive impact on carbon reduction, the environment, society and the economy in every procurement exercise that is undertaken. Equally, it is important to address and minimise any harm that could result from our procurement activity. This guide aims to help fully explore the possibilities as part of the strategy development, tendering, call-off and contract management stages of the procurement cycle to enhance the delivery of sustainable, Responsible Procurement. This guide uses information from the APUC Marrakech prioritisation to highlight key areas of sustainability to focus on. It provides key sustainability considerations and links to more detail information and advice.This is written as a general guide and is applicable to contracts, frameworks and call-off contracts. Users are encouraged to further explore issues specifically relevant to their circumstances. For example, there may be risks specifically related to food traceability (think Horse Meat Scandal). Or there may be an opportunity to boost local employment directly as a result of a contract.It is intended that these guides will be updated on an ongoing basis so feedback is sought on them from end-users, including on relevance of content and suggested additional aspects to include. |

**Carbon Reduction**

In the context of climate change, "carbon" is commonly used as a shorthand for carbon dioxide, the most important greenhouse gas released as a result of human behaviour.

Our increasing carbon footprint (carbon dioxide created and emitted during the life of a particular product or service) is having profound effects on the environment. Rising temperatures and shifting precipitation patterns are changing the growing patterns of plants and result in indigenous vegetation moving to increasingly cooler climates. Sea levels are rising as the temperature of our planet increases--warmer water occupies more space than cooler water, causing potential land displacement.

By understanding direct (on-site, internal) and indirect emissions (off-site, external, embodied, upstream, downstream etc.) in a category area we can seek reduce or minimise carbon footprint as part of a procurement exercise.

**Economic, Social and Environmental Wellbeing**

As well as carbon there are other sustainability issues that need to be considered as part of the procurement cycle.

The Sustainable Procurement Duty states that public bodies should ensure that, before carrying out a regulated procurement, they consider how it can—

* Identify the opportunities to improve the economic, social and environmental wellbeing of the area in which your organisation operates
* Facilitate  the [involvement of small and medium enterprises, third sector and supported businesses](http://www.gov.scot/Publications/2016/03/8410/3)
* Promote innovation

Responsible Procurement can enhance sustainability in a variety of ways. The broad impacts of sustainable / responsible procurement can be seen in fig 1. The guide aims to look in more depth at how to embed sustainability into procurement and examine individual category areas.

**Slavery and Human Trafficking**

Human rights in supply chains is an increasing area of concern, with the rise of slavery, forced labour and human trafficking in both international and UK supply chains. There are particular risks where intermediaries (employment agencies, gangmasters etc) are involved in the employment of labour. This is becoming a more common labour supply model. It would be easy to assume that well-known brands are immune to abuse of workers or employment rights in their supply chains but this is not necessarily the case.

The Modern Slavery Act 2015 Act and Human Trafficking and Exploitation (Scotland) Act have been introduced to tackle [slavery](https://en.wikipedia.org/wiki/Slavery) in the UK and consolidates previous offences relating to trafficking and slavery. They introduce provisions that affect both businesses in supply chains and public bodies as buyers.

**Scottish Government Targets and wider context**

Action to mitigate climate change is a key component of the Scottish Government’s aim to create a growing, sustainable and inclusive economy. APUC will endeavour to assist Universities and Colleges in meeting this aim. This will be through guidance, support and communication via the eZine and Procurement Strategy Groups.

Each university and college has a corporate responsibility to measure and reduce carbon footprint across their institution. In addition to this there are a number reporting requirements on Universities and Colleges relating to Responsible Procurement;

* The Climate Change (Duties of Public Bodies: Reporting Requirements) (Scotland) Order 2015. This includes a section on procurement.
* Procurement Reform Act Reporting - including compliance with Procurement Strategy, Community Benefits summary and Supported business efforts summary
* Higher Education Statistical Agency - Mandatory data items on Carbon emission data.
* Modern Slavery Act – Modern Slavery in supply chains statement
* PCIP Sustainability Question – Flexible Framework and Sustainability Outcomes
* BT14 benefits reporting
* All HE/FE institutions in Scotland have committed to the [Universities and Colleges Climate Commitment for Scotland](https://www.google.co.uk/url?sa=t&rct=j&q=&esrc=s&source=web&cd=1&cad=rja&uact=8&ved=0ahUKEwjJkYa-udPQAhUZOsAKHc2TCTMQFggfMAA&url=http%3A%2F%2Fwww.eauc.org.uk%2Fscotland%2Fhome&usg=AFQjCNHd9xtadoNv90R-ymQfD1DZarGlFA&sig2=loKZ6KaFG6zuv6L4tHOP0g) (UCCCfS)
* APUC Ltd understand that each institution has a devolved obligation as part of its outcome agreement to deliver a Climate Change Action Plan (CCAP).

***Embedding Responsible Procurement in the procurement journey***

The diagram below highlights where in the procurement cycle sustainability considerations can be addressed.

Zone A – Development

Scope for innovation.
Route to market – sustainable supply base? SMEs?
Stakeholder mapping. Who will be impacted/benefitted?
Life-Cycle Impact – Carbon Reduction, Energy Efficiency

Zone B - Tender

Sustainable selection/award criteria
Minimum Standards
Sustainability targets - Carbon
Mechanism for delivery of benefits - KPIs/SLAs



Zone C - Contract

Contract Management - Sustain
Monitoring and delivery –metrics
How will benefits be delivered?
Carbon Management

***Life-Cycle Impacts***

The flow-chart below demonstrates what life-cycle impacts of the good/service/work being procured might include – this can help inform the Strategy Development, Tender and Contract phases of the procurement.

The content in the Life Cycle Impact chart below provides generic ‘topic areas’ in order to aid your initial thinking – by carrying out this assessment specifically for the goods/services being procured you can identify risks to be addressed through the tender lifecycle and opportunities to be achieved.

***Prioritisation Methodology***

APUC uses an adapted version of the Scottish Government ‘Sustainability Test’ to prioritise ethical, social and environmental issues and opportunities in its contracts, and also to identify which contracts carry greater risk or opportunity than others.

You can access the APUC prioritisation tool template [here](https://apucscot.sharepoint.com/DS/_layouts/15/WopiFrame.aspx?sourcedoc=%7B0F06A132-DD0D-4919-B966-2E73193A6905%7D&file=APUC%20Prioritisation%20Tool%202017%20(20%20Columns).xlsx&action=default)

The prioritisation has been carried out for this category and the results (and guidance) follow.

***Estates and Facilities - Catering (Fresh) Category***

Prioritisation

The APUC Prioritisation for this category has identified sustainability risks and/or opportunities relating to the following areas.

Note the table below used a Red-Yellow-Green colour-scale based on the number of risks/issues identified (where Red=most and Green=fewest). Boxes in blue mean that no risk/opportunities have been identified in this area.

The colour coding is intended to highlight the key areas of focus for this category.

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| **KEY ISSUES/OPPORTUNITIES** | Relevant 1 (low) to 5 (high) |

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| --- | --- |
| ***Climate Change*** | ***4*** |
| ***Biodiversity*** | ***2*** |
| ***Communities and Crime/Security*** | ***2*** |
| ***Employment, skills and training*** | ***3*** |
| ***Equalities***  |  |
| ***Fairly and Ethically Traded (including Fair Work)*** | ***5*** |
| ***Hazardous materials/ emissions*** | ***3*** |
| ***Health and wellbeing*** | ***3*** |
| ***Heritage*** |  |
| ***Materials scarcity and security*** | ***1*** |
| ***Waste production*** | ***3*** |
| ***Water*** | ***2*** |

Market Position (from Prioritisation)

|  |  |
| --- | --- |
| RISKS IDENTIFIED | **Medium** |
| SCOPE TO DO MORE | **Medium** |
| INFLUENCE IN MARKETPLACE | **Medium** |

Key Considerations for Development, Tender and Contract

The table that follows provides guidance on the key issues/opportunities scored above, split into the areas of:

* Carbon / Environmental
* Socio-Economic

**Carbon and Environmental Considerations**

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| **Prioritisation Area** | **Guidance** | **Prioritisation Risk**  |

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| ***Climate Change*** | Development StageThere are many elements associated with the procurement of Fresh produce, including **menu planning** to factor in **seasonality of produce, consider alternative products**, and reduce **food miles** and **associated waste**. |  |
| Tender StageAsk whether suppliers have a **transport plan** that promotes **reduction of carbon emissions** and use of effective and efficient mode of transport(s) and routes.Ask suppliers what they can do in their **reducing carbon and environmental impacts.** |
| Contract StageThink about use of **reverse logistics** to maximise transport use of transport.Consider **Carbon Offsetting Schemes.** Think about **storage requirements**, is there scope to buy ‘just in time’ to reduce **refrigeration requirements**. Think about energy efficiency of refrigeration process (Supply Chain and on-site).Is there scope to **reduce frequency and/or consolidate delivery?**Details on EU Green Public Procurement criteria for Food and Catering can be found [here](http://ec.europa.eu/environment/gpp/pdf/toolkit/food_GPP_product_sheet.pdf) |
| Where next?For advice on relevant selection/award criteria, relevant labels and suggested wording that might be helpful developing procurement documents follow this [**Link**](https://apucscot.sharepoint.com/DS/_layouts/15/WopiFrame.aspx?sourcedoc=%7B93DE7B48-A17B-4181-A68C-66DEB92E15F9%7D&file=Climate%20Change.xlsx&action=default) |
| ***Biodiversity*** | Development StageThink about impacts on biodiversity - can an alternative products be sourced or one who’s production and processing carries **less impact?** |  |
| Tender StageAsk suppliers whether any products (or those used within production, processing or delivery) are derived from potentially vulnerable ecosystems, **where biodiversity is at risk**. Ask how they minimise associated risk. |
| Contract Stage**Challenge suppliers** to demonstrate how they are addressing biodiversity on an ongoing basis and moving away from products derived from potentially vulnerable ecosystems. |
| Where next?For advice on relevant selection/award criteria, relevant labels and suggested wording that might be helpful developing procurement documents follow this [**Link**](https://apucscot.sharepoint.com/DS/_layouts/15/WopiFrame2.aspx?sourcedoc=%7BB4B979C8-35FF-4B06-AB50-C1A90713CDFD%7D&file=Biodiversity.xlsx&action=default) |
| ***Hazardous materials/ emissions*** | Development StageThink about products with full traceability of production backed by accredited assurance schemes (including Red Tractor Assurance Scheme, etc.)Will organic products be a requirement? |  |
| Tender StageSpecify that that **minimal (or no) use of Pesticides, fertiliser and fungicides** in production of fresh food. |
| Contract StageBe aware of storage and disposal requirements of the produce being bought. Some produce or related goods may require **specialist, expensive disposal** – sometimes given insufficient consideration at the time of purchase. |
| Where next?For advice on relevant selection/award criteria, relevant labels and suggested wording that might be helpful developing procurement documents follow this [**Link**](https://apucscot.sharepoint.com/DS/_layouts/15/WopiFrame.aspx?sourcedoc=%7BE72D923E-6395-4196-AB4E-51D240107FCE%7D&file=Haz%20Materials.xlsx&action=default) |
| ***Materials scarcity and security*** | Development StageConsider the availability of products and they can be secured from **sustainable sources** (e.g. fish - does it have the MSC Blue label)Consider seasonality of produce. Most suppliers will be happy to provide advice on **availability of local produce.** |  |
| Tender StageAsk suppliers about **packaging** – is manufactured from sustainable sources? Is there scope to **reuse or recycle?** |
| Contract StageCan contracted supplier assist with **menu planning** to maximise sustainable and local options. |
| Where next?For advice on relevant selection/award criteria, relevant labels and suggested wording that might be helpful developing procurement documents follow this [Link](https://apucscot.sharepoint.com/DS/_layouts/15/WopiFrame.aspx?sourcedoc=%7B6476C0FD-6DFB-4966-BD2A-431E93E5ED8D%7D&file=Materials.xlsx&action=default) |
| ***Waste production*** | Development StageConsider the **waste hierarchy** for both produce purchased and materials used in their production and delivery. Identify key risks. |  |
| Tender StageChallenge suppliers chain on what they do to promote:* **Reduce**
* **Reuse**
* **Recycle**
* **Recover**
 |
| Contract StageWhat can be done in terms of quantity of products ordered or processing of produce to reduce waste? Think about* **Portion Control**
* **Alternatives to Landfill** (Foodwaste / Anaeorbic Digestion / EfW)
* Ability to **donate unused produce** to third sector orgs / reprocess for animal feed
* Ability to **reduce or re-use packaging**, what element of this can be recycled?
 |
| Where next?For advice on relevant selection/award criteria, relevant labels and suggested wording that might be helpful developing procurement documents follow this [**Link**](https://apucscot.sharepoint.com/DS/_layouts/15/WopiFrame.aspx?sourcedoc=%7B42D0A19E-2946-412B-AA78-E5774FBF3223%7D&file=Waste.xlsx&action=default) |
| ***Water*** | Development StageThere is a high carbon cost associated with the supply of **clean drinking water and wastewater treatmen**t. Also, as much fresh produce and processed foods are sourced from the global market consideration should be given to the impact where water may be considered in scarce supply.What scope is there locally or in the supply chain to **recycle water** in order to avoid waste?Investigate the impact of the production process on **water pollution** and consider selecting products with low impact or rewarding suppliers who operate **assurance schemes** to limit impact. |  |
| Tender StageAsk what suppliers can do to **reduce to use of clean water** in production and preparing of produce. Ask suppliers about what they do to promote **efficient production processes**. |
| Contract StageExplore the **seasonality of produce** to reduce demand for and avoid unnecessary use of water in areas of high temperature and low water supply. |
| Where next?For advice on relevant selection/award criteria, relevant labels and suggested wording that might be helpful developing procurement documents follow this [**Link**](https://apucscot.sharepoint.com/DS/_layouts/15/WopiFrame.aspx?sourcedoc=%7B0D5413F3-9709-4C15-A5E4-C25E564202C7%7D&file=Water.xlsx&action=default) |

**Socio-Economic Considerations**

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| ***Communities and Crime/Security*** | Development StageWhat benefits can be offered or provided to the **local community** as a result of delivery of the contract? What impacts do local communities in growing / producing areas see (positive and negative), this could affect communities globally, particularly in fruit and grain production. |  |
| Tender StageAsk suppliers if they have a community benefit scheme in place that may offer **additional benefits** to the institution and local community? (Employment opportunities, sponsorship, apprenticeships, training opportunities, etc.) Can requirements be established without **disadvantaging or excluding local SMEs?** |
| Contract StageWhat opportunities can be offered by a main contractor, within their supply chain, to **local producers** or to support the local economy? |
| Where next?For advice on relevant selection/award criteria, relevant labels and suggested wording that might be helpful developing procurement documents follow this [**Link**](https://apucscot.sharepoint.com/DS/_layouts/15/WopiFrame.aspx?sourcedoc=%7B5F11F73A-AAB9-4B2C-80F7-258B3A5B16F0%7D&file=Communities%20and%20Crime.xlsx&action=default) |
| ***Employment, skills and training*** | Development StageConsider where Contractors can support your **local economic objectives**. Inform suppliers of your strategic aims and objectives before the process starts. |  |
| Tender StageCan you encourage suppliers that will support the local economy in the form of **apprenticeships, training schemes, support of teaching etc.** through delivery of a contract? Ask what could be delivered through the contract.Are there any **employee development programme**(s) are in place? |
| Contract StageThink about what monitoring needs to take place in tracking **benefits** have been delivered. |
| Where next?For advice on relevant selection/award criteria, relevant labels and suggested wording that might be helpful developing procurement documents follow this [**Link**](https://apucscot.sharepoint.com/DS/_layouts/15/WopiFrame.aspx?sourcedoc=%7B8FE8052E-A5FE-44A9-BF0B-E206BA647606%7D&file=Employment.xlsx&action=default) |
| ***Fairly and Ethically Traded (including Fair Work)*** | Development StageInvestigate the **Animal Welfare Standards** employed within the supply chain and seek to reward good practices. Where applicable standards can be quoted as a minimum as is common practice in APUC’s Framework Agreements.Identify the areas where high risk exists and consider **Fair Trade and / or ethically traded products,** as these can offer fairer reparation schemes within the supply chain and not carry membership or accreditation fees.Certain food products are at risk of ethical supply concerns (**harsh working conditions / use of pesticides etc. affecting workers**), such as **bananas**. **Research country of origin** for the requested products and any associated/known detrimental worker conditions. Specify that country of origin of products in known and query how suppliers manage/mitigate worker condition issues.Consider risks relating to **modern slavery and human trafficking** in supply chains. What types of labour makes up the supply chain? Are these at risk of human rights abuse either in the UK or overseas. |  |
| Tender StageAsk suppliers what they do to address **Animal Welfare standards and Ethical Trade.**Address known key issues such as **worker wages, living conditions, health and safety** – suppliers should take responsibility for the conditions in their supply chain.Consider whether **Gangmaster Licensing Authority** licensing is relevant to the products being sourced.Request supplier’s **Modern Slavery** statement and compliance with Act. Ask them how they **guard against** modern slavery and human trafficking in their supply chain. |
| Contract StageConsider suppliers approach to eradicating modern slavery and human trafficking in their recruitment**. Look at to what extent suppliers monitor whether employee or agency staff are being coerced, abused or other signs their rights are being abused**. Where such issue pose key risks in a tender, look to mandate the suppliers participation in **Sustain** post award.*There is a link below to a video produced by the Gangmasters Licensing Authority which can be useful in providing a background on how some of the human trafficking and slavery is occurring in the UK.*  |
| Where next?For advice on relevant selection/award criteria, relevant labels and suggested wording that might be helpful developing procurement documents follow this[**Link**](https://apucscot.sharepoint.com/DS/_layouts/15/WopiFrame.aspx?sourcedoc=%7B381A5213-1B59-461C-803B-9FCC026C678D%7D&file=Fair%20and%20Ethical%20Trade.xlsx&action=default)Please also watch the following [Gangmasters Licensing Authority Video on Human Trafficking](http://www.gla.gov.uk/who-we-are/modern-slavery/) |
| ***Health and wellbeing*** | Development StageIs the payment of a **living wage** liable to be an issue within the supply chain? Can suppliers be rewarded for supporting this without distorting the marketplace? |  |
| Tender StageConsider **Fair Working Practices** – ask suppliers what policies and procedures exist?Is any part of the supply chain (supply of materials and products) vulnerable to **Human Tracking / Modern Slavery** (Migrant workforces, Pickers; Factory processors, etc.) and how does the supplier work with their supply chain partners to audit and prevent any such issues. |
| Contract StageThink about any monitoring required for suppliers related to **Fair Work and the Living Wage.** How does the supply chain audit and monitor partners to prevent **Human Trafficking / Modern slavery** (Migrant workforces, Pickers; Factory processors, etc.) |
| Where next?For advice on relevant selection/award criteria, relevant labels and suggested wording that might be helpful developing procurement documents follow this [**Link**](https://apucscot.sharepoint.com/DS/_layouts/15/WopiFrame.aspx?sourcedoc=%7B025EC8F5-FD43-4946-9109-979D66ED3EA5%7D&file=Health%20and%20Wellbeing.xlsx&action=default) |

To access the Prioritisation carried out for this category please follow this [link](https://apucscot.sharepoint.com/DS/SitePages/Category%20Information.aspx)

For more guidance on Sustainable Procurement visit the [APUC Sharepoint site](https://apucscot.sharepoint.com/DS/SitePages/Sustainable%20Procurement.aspx)

***Useful Contacts***

Support on **Human Rights** in Procurement

<https://www.business-humanrights.org/>

<http://www.hrprocurementlab.org/hubs/>

**Circular Economy** and Resource Efficiency Support

<http://www.zerowastescotland.org.uk/content/who-we-are>

Support on Sustainability Issues from a Higher/Further Education perspective
<http://www.eauc.org.uk/home>

***Further resources***

For information on Circular Economy visit the Ellen MacArthur Foundation

<https://www.ellenmacarthurfoundation.org/>

For advice on getting the most out of resources visit Resource Efficient Scotland

<http://www.resourceefficientscotland.com/>

For guidance on Resource efficiency visit WRAP
<http://www.wrap.org.uk/content/approach-procurement-resource-efficiency>

For information on Student led campaigns on sustainability issues via People and Planet
<https://peopleandplanet.org/>

Useful briefing note on **Conflict Materials** for the Higher Education Sector
[Conflict Minerals Briefing for the Higher Education Sector](http://www.ed.ac.uk/files/atoms/files/conflict_minerals_briefing_for_he_sector_v2_march_2017.pdf)

Information about the Forest Stewardship Council

<http://www.fsc-uk.org/10-good-reasons-to-choose-fsc.90.htm>

Information on the Supported Employment Sector and link to Scottish Government Supported Business Framework

<https://www.base-uk.org/member-organisations>

<http://www.gov.scot/Topics/Government/Procurement/directory/frameworksupportedfactbus/fsfbbuyersguide>

More information relating to this sustainable food procurement

<http://www.wrap.org.uk/food-waste-reduction>

<http://www.wrap.org.uk/category/subject/packaging>

<http://sustainablefoodcities.org/keyissues/transformingcateringandfoodprocurement>

<http://www.sustainabilityexchange.ac.uk/love-food-hate-waste-sustainable-food-procurement->

<https://www.msc.org/>

<https://www.soilassociation.org/>

***Collaborative Estates & Facilities Category team***

The Collaborative Procurement Estates & Facilities team can provide specific advice and guidance relating to their portfolio areas, including details of various added value services available through the collaborative contracts and Framework Agreements that may assist in the delivery of carbon management and sustainability.

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| Andy_Anderson | **Andy Anderson**Head of Category - Estates and FacilitiesClick to **Email**Telephone: 07766 070781Based St Andrews. Mailing address Room 404, 101 George Street, Edinburgh EH2 3ES.**Clients:** Glasgow School of Art, Royal Conservatoire of Scotland**Portfolio:** Estates Category lead - Utilities (Gas, Electricity & Water), Liquid Fuels, Fire Fighting Equipment & Services, Facilities Management Services, Furniture, Construction Related Services. |
| Milena_Bandere | **Milena Bandere**Procurement Manager - EstatesClick to **Email**Telephone: 0131 442 8960Based in [**Glasgow**](http://apuc-scot.ac.uk/#!/contactus.php&a=gla)**Portfolio:** Catering Consumables and Services including Water Coolers and TUCO Agreements, Janitorial Goods & Services - Cleaning Materials, Washroom Services, PPE and Clothing, Estates Services - Road Maintenance Services and Materials (including Salt for Winter Maintenance) |
| Vanessa_Fordyce | **Vanessa Fordyce**Procurement Manager - EstatesClick to **Email**Telephone: 0131 442 8938Based in [**Glasgow**](http://apuc-scot.ac.uk/#!/contactus.php&a=gla)**Portfolio:** Maintenance Goods & Trade Supplies, Floorcoverings (Goods & Services), Mail Room & Postal Services including Franking Machines, Signs & Signage, Estates Services (including Door Maintenance & Pest Control Services), Vehicles (Purchase, Lease and Short & Long Term Hire). Waste Management Services |
|  | **Jason Brown**Procurement ManagerClick to **Email**Telephone: 0131 442 8930Based in [**Stirling**](http://www.apuc-scot.ac.uk/#!/contactus.php&a=sti)**Portfolio:** Estates Maintenance Services (PPM & Reactive), Recycling Bins & Street Furniture, Soft Furnishings, Window Coverings, White Goods, Security Services & Equipment. |

***APUC Development and Sustainability team***

The Development and Sustainability team can provide general advice and guidance relating to sustainability

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| Emma_Nicholson | **Emma Nicholson**Head of Development and SustainabilityClick to **Email**Telephone: 0131 442 8951Based in [**Edinburgh**](http://apuc-scot.ac.uk/#!/contactus.php&a=edi) |
| Stephen_Connor | **Stephen Connor**Development and Sustainability ManagerClick to **Email**Telephone: 0131 442 8954Based in [**Edinburgh**](http://apuc-scot.ac.uk/#!/contactus.php&a=edi) |