# Adaptation Scotland

supporting climate change resilience

## Scotland Adapts: A Capability Framework for a Climate Ready Public Sector

EAUC Scotland & HeS – Risk and Resilience in a Changing Climate

Engine Shed, Stirling

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The Adaptation Scotland programme is funded by the Scottish Government and delivered by sustainability charity Sniffer.



Ellie Murtagh, Climate Resilience Project Coordinator





supporting climate change resilience

Enabling organisations, businesses and communities to adapt to the impacts of climate change

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# Introduction to the Adaptation Capability Framework





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## Why an Adaptation Capability Framework?



## Five steps to managing your climate risks

A Guide for Public Bodies in Scotland



Supporting compliance with the Climate Change (Scotland) Act 2009 Public Bodies Climate Change Duties



- There is a need to support organisations at different stages of adaptation .
- The 5-Steps is based on climate change risk assessment (CCRA) as a decision-making framework.
- As a cycle the **5-Steps process is quite rigid**.
- The 5-steps cycle has most 'capacity building' activities at early stages and largely directed at setting up a CCRA.





## Developing new fit for purpose guidance









## Expert Working Group

- An expert working group was established to co-create the new guidance.
- There was representation from a wide range of public sector organisations, including:
  - Local authorities
  - National Health Service (NHS)
  - Universities
  - Transport agency













• An organisation has adaptation '**capability**' that determines its ability to deliver climate adaptation. This is multi-faceted, comprising four adaptation capabilities:









## **Organisational Culture & Resources**



## Key aspects include:

- Resources you will need to commit resources to progress adaptation. These will vary depending on task, but include human, physical, financial, information and intellectual resources. Some of these can be allocated or developed internally, others will need to come from external sources.
- Governance your organisation will have distinct structures, ways of making decisions and of delegating responsibilities. For adaptation to be effective it will need to fit with your overall governance arrangements but also have clear project governance that allows it to deliver change.
- Leadership the support of senior leaders can accelerate adaptation in an organisation. It is also important to recognise and empower adaptation 'champions', individuals who can lead the way in your organisation. People at all levels can be these 'agents of change'.
- Reflection & flexibility space needs to be made to try new approaches, to learn from experience, and to improve procedures, strategies and actions in response. There also needs to be flexibility to recognise that the landscape may change as adaptation progresses.











## Understanding the Challenge



## Key aspects include:

- Knowledge build an understanding of climate change and different approaches to adaptation. This needs to be translated into your specific context, with the knowledge shared across your organisation. You will continue to learn and adjust as you make progress with adaptation.
- Evidence you need an evidence base to inform decision-making in your organisation. As you progress you will develop evidence for long-term impacts under a range of possible futures – and make sure that you can use this to inform climate change risk assessment.

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Research & innovation – adaptation is still a relatively new as a process, especially where it is put into practice in the 'real world'. As part of a global community learning to adapt you have an opportunity to connect with external expertise to fill knowledge gaps and drive innovation.





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## Planning & Implementation



## Key aspects include:

- Strategic approach you can help embed adaptation by aligning with the functions and purpose of your organisation. Set a clear vision and long-term outcomes to guide planning as you develop an adaptation strategy and action plan. Looking at the bigger picture will help make the most of opportunities.
- Appraisal & prioritisation you want to identify robust, acceptable, efficient and effective measures that can be taken forward in an action plan. Develop an evidence-based appraisal process to select options that will help you achieve strategic adaptation outcomes.
- Take action you learn by doing. From initial actions to a comprehensive programme, your organisation will build momentum by taking action. You also need to reflect, monitor and evaluate actions to ensure they are efficient and effective in achieving adaptation outcomes.

















## Working Together



## Key aspects include:

- Networks you can achieve more by sharing and learning from others. Make the most of support available, and connect with peers, whether through adaptation-focussed or other professional networks. Engage with relevant groups, forums and partnerships where adaptation is appropriate within their remit.
- Partnership collaboration can be essential to achieving adaptation outcomes. You may need to formalise partnership arrangements, either building upon existing arrangements or establishing a new partnership. Agree roles, responsibilities and funding allocations.
- Engagement sustained engagement is an on-going commitment to involve a diverse range of stakeholders in communities, businesses and the third sector. Well-planned and inclusive engagement will allow for adjustment of your plans and is an opportunity for you to influence others.
- Joint action the delivery of many adaptation actions will need to involve partners. Build collaborative relationships by taking action, from agreeing resources for initial activities to the co-financing for multi-year investment in a programme of adaptation action.















## MATURITY STAGES:



## An organisation can develop adaptation capability over time.







A Capacing Framework for a Climate Ready Public Sector 🖤 🕒 Scotland Adapts

### MATURITY STAGE: STARTING

Your organisation is able to communicate why adaptation matters by linking it to your strategic objectives. You have considered where adaptation fits in your organisation and identified key opportunities to begin your adaptation work as well as what resources are available to support it.

#### OC1A Consider how \_\_\_\_\_\_inten fits with your organisation and its objectives

Identify how adaptation supports delivery of your organisation's strategic objectives. Use this to communicate why adaptation matters. You will need to consider where adaptation is best placed in your organisation and start to identify relevant groups and decision making processes.

#### OC1B Identify resources available for adaptation

Adaptation is a long-term process that will see you undertake many tasks requiring a range of different resources – human, physical, financial, information and intellectual. It is useful to survey resources that already exist within your organisation that will enable you to plan and deliver future work.

### MATURITY STAGE: INTERMEDIATE

Your organisation is now prepared to work on adaptation. Leadership has put in place governance arrangements and committed suitable resources to progress with your initial adaptation plans. There is an emerging set of people in your organisation who can see opportunities to deliver adaptation – and may become adaptation 'champions'.

#### OC2A Secure resources to plan and deliver adaptation

Your organisation will need committed resources – human, physical, financial, tion and intellectual – to progress with adaptation. You need to secure those needed for short-term activities, unit was men and the needs. Consider both internal and external sources.

#### oc28 Engage with colleagues to identify adaptation opportunities and potential 'champions'

Your organisation will (or could) be delivering adaptation through many of its functions. By actively engaging colleagues across your organisation you can identify opportunities to include adaptation in planned work – as well as key people who could become adaptation 'champions'.

#### ocac Establish governance arrangements for adaptation

Setting up governance arrangements for adaptation is essential to enable effective decisionmaking and collaborative delivery across your organisation. Seek approval for an option that works for your organisation – and is able to deliver your adaptation objectives.



### MATURITY STAGE: ADVANCED

Your organisation now has governance arrangements in place to deliver adaptation. You are systematically identifying opportunities to include adaptation in plans, policies and procedures. These opportunities are being taken up by emerging adaptation 'champions' across your organisation.

#### Identify opportunities to include adaptation in plans, policies and procedures

Take a systematic look across the breadth of your organization's form

Think about where you can make the most impact, but also look beyond the 'obvious' to areas that may not have considered climate adaptation.

#### OC38 Motivate 'champions' and actively promote adaptation across the organisation

Your organisation should openly promote an adaptation agenda both internally and externally. Seek to recognise and empower adaptation 'champions', individuals who can lead the way in your organisation by setting goals, and advocating and resourcing initiatives on adaptation.

#### ocac Put governance arrangements for adaptation into operation

An on-going effort is required to implement your approved adaptation governance arrangements. Good governance will provide oversight for a work programme, defines roles and responsibilities, and will have appropriate authority to approve and implement change.

### MATURITY STAGE: MATURE

Your organisation delivers a broad range of action on adaptation, which is now being mainstreamed into many plans, policies and procedures. Your governance arrangements are kept up-to-date and are able to reflect changing demands as more people become involved. There is a supported network of 'champions' who continue to lead the way on adaptation.

### OC4A Mainstream adaptation inter-

rou can reduce reliance on stand-alone adaptation actions (often seen as a burden) by mainstreaming into your organisation's functions. Prioritise actions that will deliver most impact, but also embed adaptation considerations within review/update cycles for a wide range of plans, policies and procedures.

#### OC4B Develop a network of recognised adaptation 'champions'

As more people become actively involved in delivering adaptation across your organisation, it is important to continue to recognize, support and – to avoid silos – coordinate those who are leading the way as adaptation 'champions'. By developing a formal or informal network you can create space for communication and encourage peer support.

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Your organisation should periodically review, reflect on and update governance arrangements for adaptation. This will help you take opportunities that arise and account for changing demands as adaptation progresses – as well as respond to any wider changes taking place in your organisation.



anisation's plans, policies

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#### 18 Scotland Adapts

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A Capability Framework for a Climate Ready Public Sector 2

22 Scotland Adapts

### MATURITY STAGE: STARTING

Your organisation is learning about climate change and its potential impacts in Scotland. You will have pulled together key information that is most relevant to your organisation - and this is being used to raise awareness and develop a common understanding of potential consequences.

#### UC1A Learn about Scotland's changing climate

An understanding of past climate trends and future projections is a necessary foundation for adaptation. The UK Climate Projections provide key messages and you can access localised climate information for a range of climate variables, including temperature and rainfall.

#### UC1B Learn about climate impacts affecting Scotland

The dimate is already changing, and impacts are being felt throughout Scotland. Understanding the consequences of climate change for Scotland – and locally – will help you understand how it could impact your organisation. Learn about these by accessing key synthesis reports.



#### MATURITY STAGE: INTERMEDIATE

Your organisation is building an understanding – and evidence – of potential climate impacts that are specific to your context and linked to strategic and operational priorities. By engaging internal stakeholders you are able to identify key functions that could be affected by climate change. Exploring recent weather events provides insight into climate-related vulnerabilities.

#### UC2A Develop understanding of climate risk and vulnerability

Risk and vulnerability are key concepts for understanding the potential impacts of climate change on your organisation. To inform robust decision-making these need to be understood in your specific context - and you need to identify and access relevant sources of evidence.

#### UC2B Consider how your organisation's functions might be affected by climate change

Your organisation will have many functions that might be affected by climate change. To identify these you will need to engage with a diverse range of internal stakeholders to explore the connection between strategic and operational priorities and climate impacts.

#### UC2C Explore the impact of recent weather events on your organisation

Your organisation will have been affected by recent weather events. Exploring the consequences of specific events with colleagues is a way to explore dimate-related vulnerabilities in more depth. These can be useful narratives for raising awareness, as well as providing initia



Your organisation is building an evidence base for long-term climate impacts under a range of possible futures. This is used to inform dimate change risk assessment - whether for strategic organisational risks, priority service areas or specific projects. You are now able to identify knowledge gaps and are working with others to address them.

#### UC3A Explore future change by developing scenarios and/or storylines for climate impacts

Climate projections provide a range of possible future climates that can be used to understand potential impacts. It is also important to consider how changes in socio-economic conditions could alter your vulnerability and influence your adaptation responses. The use of scenarios and

#### UC3B Undertake strategic climate change risk assessment

A strategic risk assessment is used to evaluate climate risks across your organisation, or for key service / asset portfolios. This strategic 'scan' helps to understand the changing likelihood and consequence of a range of potential risks for your organisation. It enables you to prioritise dimate risks, allowing you to better focus limited resources.

#### UCSC Undertake project-level climate change risk assessi

A project-level risk assessment is focussed on climate risks to a specific project, policy, asset, or location. These are typically justified if they have been identified as 'at-risk' in a strategic assessment, provide a critical function of your organisation or are major investments/assets. The narrow scope allows for an appropriately detailed analysis of climate risks.

#### UC3D Identify knowledge gaps, seek expertise and foster links with research and innovation

As work on adaptation advances, you should seek to identify knowledge gaps that are important to your decision making. Knowledge gaps, whether due to its absence or poor usability, could be addressed by seeking external expertise - and there may be opportunities to connect with research and innovation.

#### MATURITY STAGE: MATURE

Your organisation is embedding knowledge on climate and adaptation into internal systems, enabling routine use by people in their day-to-day roles. This includes assessing climate risk within a wider risk management framework. You continue to learn and adjust to the climate adaptation challenge, connecting with a wide range of partners to co-produce adaptation solutions.

#### UC4A Mainstreaming of climate change risk assessment

Your organisation routinely undertakes strategic and project-level climate change risk assessments, as appropriate within a wider risk management framework (i.e. not just dimate). You will ensure that there is senior ownership of key risks, and that these are effectively

#### UC4B Accessible climate adaptation knowledge is integrated into internal systems and procedures

As internal knowledge of climate adaptation grows, it will need to be made accessible to many more people in your organisation in a form that is easily utilised in their work. Look for opportunities to integrate knowledge into internal systems and procedures, so that adaptation is no longer seen as an 'add-on' task.

#### UC4C Actively engage in sharing, learning, research and innovation

Climate adaptation is long-term challenge, and your organisation will need to continually learn and adjust to meet it. You will benefit from connecting with a range of potential partners, both locally and internationally. Research and innovation can be harnessed to co-produce adaptation solutions with local stakeholders.



TASKS:



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Scotland Adapts

### MATURITY STAGE: STARTING

Your organisation is able to look at the big picture and see where it can make a contribution to a Climate Ready Scotland. You have recognised ways that you are already delivering adaptation actions – and have a plan for engaging key people from across your organisation.

#### PIIA Identify actions already delivering adaptation

Your organisation will already be taking actions that deliver adaptation, even if they are not always recognised. By identifying some of these actions you can demonstrate alignment with your organisation's functions – and show that you have already begun an adaptation journey.

#### PHB Consider how you contribute to Scotland's adaptation outcomes

The public sector has a key role in delivering the Scottish Climate Change Adaptation Programme, which sets strategic adaptation outcomes for a Scotland that is climate ready. Show how your organisation fits in the bigger picture by considering how you might contribute to delivering these outcomes.

#### FIC Identify key Internal stakeholders for adaptation

Planning adaptation will require you to interact with stakeholders from across your organisation. Develop an engagement plan that identifies key people based on emerging organisational priorities and areas of on-going adaptation action.



### MATURITY STAGE: INTERMEDIATE

Your organisation has developed a clear vision of 'climate ready' and has defined adaptation outcomes that align with your organisation's purpose. You are considering an emerging set of potential adaptation actions and can pull these together into an action plan – while implementing early practical actions.

#### FI21 Define a vision and outcomes for adaptation

Adaptation is a long-term strategic challenge that you will need to align with your organisation's purpose. You should develop a 'climate ready' vision, and define adaptation outcomes that allow you to strategically plan an effective adaptation response.

#### PI2B Identify a range of potential adaptation actions

As you increase awareness of climate impacts, you need to start planning an adaptation response. Compile a set of options for actions that your organisation could take – either alone or with partners. It is important to consider a wide range of actions, both short- and long-term, easy and difficult.

#### FI2C Develop an Initial adaptation strategy and action plan

For many organisations, an initial adaptation strategy and action plan can act as a catalyst for raising awareness and resourcing further adaptation work. At this stage the focus will mostly be on setting strategic objectives and capacity building initiatives.

#### PI2D Take action to deliver adaptation

Your organisation should be able to take early practical action on adaptation by building upon existing projects or implementing no-regret / quick-win actions. These help raise the profile of adaptation – building internal support and helping to spur further action.

### MATURITY STAGE: ADVANCED

Your organisation has developed and is now implementing an adaptation strategy and action plan. This coordinates and integrates adaptation into relevant projects, policies and plans across your organisation and with partners. There is an appraisal of adaptation options that places them within a strategic context, aligned with your organisation's adaptation goals/outcomes. This enables effective prioritisation and sequencing of adaptation measures.

#### PI3A Develop a strategic change process for achieving adaptation outcomes

Set the strategic context for adaptation by systematically laying out the steps required to achieve long-term adaptation outcomes. By developing a 'change process' that includes specific intermediate outcomes you will be able to better design, monitor and evaluate a programme of activities.

#### PI38 Appraisal of adaptation options

An appraisal process will allow your organisation to consider a range of factors when selecting and prioritising from an emerging set of adaptation options. This will help identify robust, acceptable, efficient and effective measures that can be taken forward in an action plan.

#### Plac Develop a comprehensive adaptation strategy and action plan

A 'comprehensive' adaptation strategy and action plan draws together knowledge of climate risk and appraised adaptation options, and translates your strategic objectives into practical action. It should coordinate and integrate adaptation into relevant projects, policies and plans across your organisation and with partners.

#### PI3D Implement a programme of adaptation actions

Your organisation should now be ready to implement a range of prioritised adaptation actions, with appropriate resources allocated. The actions should contribute to achieving your adaptation outcomes, with suitable monitoring and evaluation to learn from experience.

#### MATURITY STAGE: MATURE

Your organisation is now taking a strategic approach to adaptation, which is becoming business-as-usual as it is mainstreamed into plans, policies and procedures. You are able to be flexible and allow for uncertainty, adopting an iterative adaptive management cycle and planning into the long-term, for example using adaptation pathways to manage climate risks.

#### Adopt an ongoing adaptive management cycle for adaptation planning

An adaptive management cycle is a flexible, iterative approach for decision-making when faced with uncertainty, complexity and changing conditions – and well suited to climate adaptation. Effective learning and evaluation informs adjustments to strategies and actions.

#### FI4B Taking action on adaptation is mainstreamed into your organisation's functions

For adaptation to become routine, it needs to be mainstreamed into the business-as-usual activities in your organisation when delivering its functions. It is essential to monitor and evaluate this maintensmed delivers to ensure it achieves advocation outcomes

#### PI4C Implement pathways for adaptation / transformational change

Alongside delivery of other societal priorities, climate adaptation will require significant change. It may require transformation, a fundamental change in our systems. An adaptation transition can be approached using pathways, which need to integrate climate action with monitoring and evaluation of progress and path dependencies.

## TAIKS:



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#### Scotland Adapts

### MATURITY STAGE: STARTING

Your organisation is beginning to forge connections with others working on adaptation. You are becoming active in relevant networks to share learning and expertise. You are also identifying opportunities to consider adaptation in other groups, partnerships and forums.

#### WT1A Join relevant professional and adaptation networks

Join networks and professional institutions to develop connections with others working on adaptation. You will benefit from shared learning and opportunities to work together, formally or informally.

#### Identify existing statutory and non-statutory groups, partnerships and forums that include, or could include, adaptation within their remit. Consider their relevance to your adaptation work and find out who in your organisation already engages with them.



### MATURITY STAGE: INTERMEDIATE

Your organisation is deepening its connection with partners on adaptation. You are taking opportunities to include adaptation in a range of your organisation's external activities, including co-delivery of initial actions. Together you are emphasising the importance of collective action.

#### WT2A Make connections with external partners

Speak with external partners about on-going or future projects, shared priorities and potential alignment to lay the ground-work for collaboration. Engage with relevant groups, forums and partnerships where adaptation is appropriate within their remit.

#### WT2B Coordinate with partners to deliver initial actions

Take practical action with partners to develop strong collaborative partnerships for the future. Share your adaptation priorities, and seek opportunities for joint action on adaptation. Even small projects or coordination of on-going work can build momentum.

#### Wrzc Develop communication and engagement activities with partners

Highlight and communicate shared priorities, climate risks, and ongoing adaptation actions with partners. Use this communication to emphasise the importance of your collective action, internally and externally.

### MATURITY STAGE: ADVANCED

Your organisation is working with partners on a regular basis to deliver a range of shared adaptation actions. Collaboration is supported by formalising partnership arrangements and you are actively seeking to involve diverse stakeholders in your adaptation planning. You are linking to wider networks to share ideas, experience and seek opportunities to collaborate.

#### WT3A Begin to formalise partnership working

As you work with partners more frequently and on larger projects, you will need to formalise partnership arrangements – agreeing roles, responsibilities and funding allocations. This might build upon existing arrangements or you night need to establish a new partnership.

#### WT3B Engage a wide range of stakeholders

Delivering adaptation needs to involve a diverse range of stakeholders including communities, businesses and the third sector – look beyond the 'usual suspects'. Well-planned and inclusive engagement will allow for adjustment of your plans and is an opportunity for you to influence others.

#### WTBC Implement further joint actions

Ongoing collaboration with partners can help you deliver an expanding range of adaptation actions and achieve shared outcomes. It is likely that many of your adaptation actions cannot be effectively delivered without the involvement of partners.

#### WT3D Join networks and link with peer organisations

Adaptation benefits from sharing experience and learning with others. Your organisation and adaptation 'champions' can join key networks – in Scotland and beyond. Aim to link with peer organisations to share ideas, experience and seek opportunities to collaborate.

#### MATURITY STAGE: MATURE

Your organisation is working in partnership to undertake a programme of action that achieves long-term adaptation outcomes. Partnership arrangements are maintained and refreshed so that they remain effective. You are now a leader on adaptation and supporting others to progress.

#### WT4A Further develop and maintain partnership working

Achieving long-term adaptation outcomes will require effective partnership working, which needs an on-going effort to maintain and refresh arrangements. Also seek opportunities to integrate partnership working alongside your organisation's mainstreaming of adaptation into its functions.

#### WT4B Sustain engagement with partners and stakeholders

Sustaining engagement with partners and stakeholders requires a significant on-going commitment – involving them in planning, implementation, and evaluation. This will be critical for acceptance and the success of your adaptation plans.

#### WT4C Expand a programme of joint actions

The delivery of a long-term strategic programme of adaptation will require coordination and collaboration with partners. This includes agreeing resources and co-financing for multi-year investment in adaptation actions.

#### WT4D Take a lead in networks and peer organisations

As an organisation that is now a leader on adaptation, you will have invaluable experience to share – and much still to learn. Your adaptation work will be strengthened when others are progressing, so take an active role in networks and connect with peer organisations.



# PICK AND CHOOSE TASKS THAT ARE RIGHT FOR YOUR ORGANISATION



## Adaptation Capability Framework – Supporting Resources





### The Handbook

 introduction to climate change adaptation for Scotland's public sector.



### Interactive Adaptation Capability Framework

 access detailed task information, resources and case studies.



## **Online training modules**

 develop adaptation skills and expertise.



## Starter pack

 for organisations and individuals who are new to adaptation.



### Benchmarking tool

 assess progress and plan adaptation work.







THE HANDBOOK



A CAPABILITY FRAMEWORK

SCOTLAND

FOR A CLIMATE READY

**ADAPTS:** 

**PUBLIC SECTOR** 



Adaptation

Scotland

upporting climate change resilience



6 Scotland Adapts

#### **CAPABILITY: ORGANISATIONAL CULTURE & RESOURCES**

To make progress with adaptation, it will need to fit with your organisational culture and resources. By developing this capability you will find ways to align adaptation activities with your organisation's priorities. Over time they will become mainstreamed into plans, policies and procedures - becoming part of business-as-usual for your organisation.



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#### OC2C Establish governance arrangements for adaptation

#### A Capability Framework for a Climate Ready Public Sector



change adaptation at the organisational level is an ongoing and iterative task that is far from complete, but we are working across all levels and sectors to embed climate change and adaptation into the way SNH operates."

> Scottish Natural Heritage Dualchas Nadair na h-Alba All of nature for all of Scotland

#### Scotland Adapt

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As more people become actively involved in delivering adaptation across your organisation, it is important to continue to recognise, support and - to avoid silos - coordinate those who are leading the way as adaptation 'champions'.

#### C4C Review and update governance arrangements for adaptation Your organisation should periodically review, reflect on and update governance arrangements for adaptation. This will help you take opportunities that arise and account for changing demands as adaptation progresses - as well as respond to any wider changes taking place in your organisation.



and help staff understand the impact of climate change on Scotland's environment, we developed an internal e-learning module for new and existing staff. This is supported by the Climate Champions Network, where one person in each work unit is tasked with updating and discussing climate change with colleagues. Climate Champions

The Adaptation Scotland programme is funded by the Scottish Government and delivered by sustainability charity Sniffer.

change. (OC3A)

'In 2012, we developed Adaptation Principles to conversation between the team and the rest of guide us in helping nature adapt to climate change. the organisation.(OC3B) Embedding these principles into our projects encourages the development of climate adaptive One of the challenges we face is that our staff actions, which are critical to improve ecosystem already have high workloads, and limited time

health and resilience to current and future climate to commit to training or more work around climate change. Climate Champions help share the message that action on adaptation to climate To further mainstream the adaptation principles change is not extra work, but a fundamental part of doing our job well. This message is supported by leadership at SNH, who have embedded climate change across all aspects of the new Corporate Plan for 2018-2022. While the previous corporate plan identified climate change as a key theme, the new plan represents a major shift. We no longer have a climate specific theme or target. Instead, report back to the Climate Change Unit on work climate change is implicit and expected to be undertaken in their units, creating a two way considered in every aspect of our work. (OC4A)

CASE STUDY: SCOTTISH NATURAL HERITAGE

Creating an organisational culture that promotes climate ready solutions to

manage Scotland's nature and landscapes: Mary Christie is the Policy & Advice Manager and Natural Capital Team Manager at Scottish Natural Heritage

(SNH). She has worked with the Natural Resource Management team to build

understanding of climate change adaptation throughout the organisation.





## Adaptation Capability Framework – Supporting Resources





### The Handbook

 introduction to climate change adaptation for Scotland's public sector.



### Interactive Adaptation Capability Framework

 access detailed task information, resources and case studies.



### **Online training modules**

 develop adaptation skills and expertise.



## Starter pack

 for organisations and individuals who are new to adaptation.



### Benchmarking tool

 assess progress and plan adaptation work.





## Adaptation Scotland

What is adaptation Why adapt How to adapt Get involved About us News & events C

## Welcome to Adaptation Scotland

At Adaptation Scotland, we provide advice and support to help Scotland be prepared and resilient to the effects of climate change.

We help public sector, businesses and communities to understand what climate change will mean across Scotland, and identify the best way for them to plan for the impact – taking the opportunities and preparing for the risks.

Find out more

## https://www.adaptationscotland.org.uk/

## Your sector

To get started it can be useful to see how climate change is affecting your sector and what the main drivers are for adaptation.

Public sector

**Businesses** 

## Interactive Adaptation Capability Framework



#### Adaptation Scotland

What is adaptation Why adapt How to adapt Get involved About us News & events Q

Your sector Partnerships and collaborations. Our adaptation process. Tools and resources. Case studies

#### Home > How to adapt > Your sector > Public sector

#### Public sector

change. Taking a strong, proactive approach will ensure that vital public assets, infrastructure and services are fit for current and future generations and able to deliver

progress. It also has a unique leadership role in driving action and influencing change across society.

Our tools and resources are based on a strong understanding of public sector needs. and government agencies. We have also drawn inspiration from adaptation research, tools, and resources from around the world (download our reference list here).

Take a look at the resources below and contact us if you have feedback or questions.



#### Handbook

The Handbook is an introduction to climate change adaptation for Scotland's public sector. The public sector has already shown a willingness to take on a leadership roles when it comes to action on climate change. The Handbook provides an overview of how the Adaptation Capability Framework can be used by any public sector organisation to accelerate action on adaptation. It introduces the Adaptation Capability Framework, and outlines the four capabilities that an organisation will need to develop to progress.



## Framework



An introduction for organisations

Get started

Starter pack

tasks.

The Adaptation Capability Framework identifies four capabilities that every public organisation will need to adapt to climate change, providing step by step tasks to guide your rity, and the Framework tailor your approach to



## support

beginning their adaptation journey and a esource for advanced organisations to review and revisit earlier work. It provide trengths and weaknesses, and track the specific support to the Starting stage

nate risk, and how to Learn more



#### **Organisational Culture & Resources**

To make progress with adaptation, it will need to fit with your organisational culture and resources. By developing this capability you will find ways to align adaptation activities. with your organisation's priorities. Over time they will become mainstreamed into plans, policies and procedures part of business as usual for your organisation.



#### 1. Starting

OC1A Consider how adaptation fits with your organisation and its objectives

Identify how adaptation supports delivery of your organisation's strategic objectives. Lise this to communicate why adaptation mattern. You will need to consider where adaptation is best placed. In your organisation and start to identify relevant groups and decision making processes that will need to consider it.

Adaptation is a long term process that will see you undertake many tasks requiring a range of different resources - human, physical, financial, information and intellectual. It is useful to survey resources that already exist within your organisation that will enable you to plan and deliver future work.



#### 2. Intermediate

#### OC2A Secure resources to plan and deliver adaptation

Your organisation will need committed resources human, obvisical, financial, information and Intellectual - to progress with adaptation. You need to secure those needed for short-term. activities - but also look ahead at likely future needs. Consider both internal and external

#### OC2B Engage with colleagues to identify adaptation opportunities and potential 'champions'

Your organisation will (or could) be delivering adaptation through many of its activities / functions. By actively engaging colleagues across your organisation you can identify opportunities to include adaptation in planned work - as well as key people who could become adaptation

#### OC2C Establish governance arrangements for adaptation

Setting up governance arrangements for adaptation is essential to enable effective decision making and collaborative delivery across your organisation. Seek approval for an option that works for your organisation - and is able to deliver your adaptation objectives.







or a resilient Scotland

#### The Adaptation Scotland programme is funded by the Scottish Government and delivered by sustainability charity Sniffer.

OC1B Identify resources available for adaptation



## Task Detail & Supporting Resources



## OC1A Consider how adaptation fits with your organisation and its objectives

Identify how adaptation supports delivery of your organisation's strategic objectives. Use this to communicate why adaptation matters. You will need to consider where adaptation is best placed in your organisation and start to identify relevant groups and decision making processes that will need to consider it.

#### More/less detail



#### Subtasks

- Identify your strategic priorities, organisational objectives or outcomes and consider how these could be affected by and/ or contribute towards adaptation.
  - For example, your organisation may have priorities related to reducing poverty and inequalities. This links to climate change adaptation as people and
    communities experiencing multiple causes of vulnerability are often most vulnerable to the impacts of climate change. Understanding how climate impacts
    may affect vulnerable groups can help make action to reduce poverty and inequalities more effective.
  - A list of example strategic priorities and how these link to climate change adaptation is found in Template 3 of <u>the Starter Pack</u> -Identify Links between Common Organisational Priorities and Climate Change Adaptation.
- Examine your organisation's motivation to address climate adaptation and current risk appetite.
  - · Consider what motivates or may motivate your organisation to adapt to climate change
  - Explore what risk management approaches to managing weather and climate related risks already exist and reflect on the risk appetite of your organisation.
- Identify who will be involved in your adaptation work.
  - Identify groups, committees or partnerships that exist in your organisation who could be involved with or lead on adaptation work. Consider the following
    questions:
    - Who will develop and deliver your adaptation arrangements internally? It may be the sustainable development, climate change, emergency planning or members of other relevant departments.
    - Who will help you plan and deliver your adaptation work? Do you have a Climate Change Working Group or Corporate Management Team which meets
      regularly.

Highlight any champions who are already driving work related to climate adaptation

· Begin to engage with identified groups and individuals through informal conversations.

#### Resources

Starter Pack -Template 3 Identify links between common organisational priorities and climate change adaptation

Starter Pack -Template 4 Motivation to address climate adaptation, risk appetite and governance of your organisation





#### Adaptation Scotland

#### What is adaptation Why adapt How to adapt Get involved About us News & events Q

Your sector Partnerships and collaborations. Our adaptation process. Tools and resources. Case studies

|  | Starting  | 1       | rmediate   | Advanced  | Mature   |  |  |  |
|--|---|---------|--|---|--|--|--|--|
|  |   |         |  | Plateric Ba   |  |  |  |  |
| Organisational<br>Culture &<br>Resources | OC1A Consider<br>how adaptation fits<br>with your<br>organisation and<br>its objectives | 020     | A Secure<br>surces to plan and<br>ver adaptation                                       | OC3A Identify<br>opportunities to include<br>adaptation in plans,<br>policies and procedures                      | OC4A Mainstream<br>adaptation into your<br>organisation's plans,<br>policies and procedures  |  |  |  |
|  | OC1B Identify<br>resources available<br>for adaptation                                  | 0000    | B Engage with<br>argues to identify<br>plation<br>ortunities and<br>intial 'champions' | OC3B Motivate<br>'champions' and actively<br>promote adaptation<br>across the organisation                        | OC4B Develop a networ<br>of recognised adaptatio<br>'champions'                              |  |  |  |
|  |   | A A K D | C Establish<br>imance<br>ingements for<br>plation                                      | OC3C Put governance<br>arrangements for<br>adaptation into<br>operation   | OC4C Review and update<br>governance<br>arrangements for<br>adaptation                       |  |  |  |
| Understanding the<br>Challenge           | UC1A Learn about<br>Scotland's<br>changing climate                                      | 4 0 0 C | A Develop<br>erstanding of<br>ate risk and<br>erability                                | UCSA Develop<br>(scenarios, storylines,<br>narratives) for future<br>dimate change impacts<br>and vulnerabilities | UC4A Mainstreaming of<br>dimate change risk<br>assessment                                    |  |  |  |
|  | UC18 Learn about<br>dimate impacts<br>affecting Scotland                                | y       | B Consider how<br>organisations  | UC38 Undertake  | UC48 Accessible climate<br>adaptation knowledge<br>that is integrated into                   |  |  |  |
|  |   | 9       | tions might be<br>cted by climate<br>tge   | strategic climate change<br>risk assessment   | internal systems and<br>procedures   |  |  |  |
|  |   | 2 2 2   | C Explore the<br>act of recent<br>ther events on<br>r organisation                     | UCSC Undertake project-<br>level risk assessment  | UC4C Actively engaged in<br>sharing, learning,<br>research and innovation                    |  |  |  |
|  |   | ,       | rorganisation  | UC3D Identify knowledge<br>gaps, seek expertise and<br>foster links with research<br>and innovation               |  |  |  |  |
| Planning &<br>Implementation             | PITA Identify<br>existing adaptation<br>work within your<br>organisation                | A D A U | A Define strategic<br>plation goals,<br>comes and/or<br>m                              | PISA Develop a strategic<br>change process for<br>achieving adaptation<br>outcomes                                | PIAA Adopt an ongoing<br>adaptive management<br>cycle for adaptation<br>planning             |  |  |  |
|  | PITB Consider how<br>you contribute to<br>Scotland's<br>adaptation<br>outcomes          | 804     | 8 Identify a range<br>otential adaptation<br>ons                                       | PI3B Appraisal of<br>adaptation options   | PI4B Taking action on<br>adaptation is<br>mainstreamed into your<br>organisation's functions |  |  |  |
|  | PITC Identify key   | 1       | Covelop an initial<br>ptation strategy<br>action plan                                  | PI3C Develop a<br>comprehensive<br>adaptation strategy and<br>action plan   | PI4C Implement pathway<br>for adaptation   |  |  |  |
|  | stakeholders for<br>adaptation  | 8       | D Take action to<br>ver adaptation   | PI3D Implement a<br>programme of<br>adaptation actions  |  |  |  |  |
| Working Together                         | WT1A Join and<br>participate in<br>relevant<br>professional                             |         | 24 Make<br>nections with<br>mal partners   | WT3A Begin to formalise<br>partnership working  | WT4A Further develop<br>and maintain partnership<br>working                                  |  |  |  |
|  | and/or adaptation<br>networks   | 1       | 28 Coordinate with<br>ners to deliver<br>al actions                                    | WT38 Engage a wide<br>range of stakeholders   | WT48 Sustain<br>engagement with<br>partners and  |  |  |  |
|  | WT1B Identify<br>relevant groups,<br>partnerships and<br>forums                         | -       | C Develop  | WT3C Implement further<br>joint actions   | stakeholders   |  |  |  |
|  |   | S A C   | agement activities   | WT3D Join networks and<br>link with peer<br>organisations   | WT4C Expand a<br>programme of joint<br>actions   |  |  |  |
|  |   |         |  |   | WT4D Take a lead in<br>networks and peer<br>organisations                                    |  |  |  |

https://www.adaptationscotland.org.uk/ how-adapt/your-sector/publicsector/capability-framework-interactive Starter Pack



- The Starter Pack provides **detailed guidance** on **1. Starting tasks**.
- It is for professionals with limited prior knowledge of adaptation or for organisations where adaptation work is in the very early stages.
- Also useful for organisations that have progressed adaptation, to review and reflect on any steps they may need to revisit.



## **Starter Pack**







## 🚊 PREPARE

Learn about climate adaptation and what it may mean for your organisation through collecting information, and having initial conversations with colleagues.

## C ENGAGE

Plan and deliver an engagement workshop with diverse members of your organisation to raise awareness about the need for climate adaptation and begin to identify on-going adaptation activities.

## RECORD

Collate all the information and evidence you have gathered into a central resource, such as a report or powerpoint that can be used to make the case for adaptation action in your organisation.







#### Template 3 Identify links between common organisational priorities and climate change adaptation

The template lists examples of issues that are commonly addressed through public sector strategic priorities, objectives and/ or outcomes. Climate change adaptation links are given for each example. Complete the table by adding notes to describe the relevance to your organisation.

| Strategic priority<br>examples<br>The examples below<br>describe the type of<br>issues that are commonly                 | Possible climate change adaptation link<br>Examples of why climate change adaptation may be relevant<br>to different strategic priorities.   |               | 100                   | ちっ ぐっ テ<br>HOME INSERT                              | PAGE LAYOUT FORMULAS DATA REVIEW VIEW   | Starter_PackAll_Templates (4) - Excel<br>ACROBAT  |     |           |     |  |          |                             | – 🗗 🗙<br>Aurtagh - 🎑 |
|--|--|---------------|-----------------------|---|---|---|-----|-----------|-----|--|----------|-----------------------------|----------------------|
| part of strategic priorities/<br>objectives or outcomes<br>Priorities related to<br>reducing poverty and<br>inequalities | People and communities experiencing multiple causes of<br>vulnerability are often most vulnerable to the impacts of<br>climate change. Understanding how climate impacts may<br>affect vulnerable groups can help make action to reduce<br>poverty and inequalities more effective.                      | Pa            | ste<br>, 💞 F<br>Clipb | Copy + B 1<br>Format Painter                        | $[ \underline{U} \bullet ] \bigoplus \bullet ] \underbrace{\Delta} \bullet \underline{\Delta} \bullet \equiv \equiv \equiv [ \underline{C} \oplus C$ | General<br>Center →<br>Second tional Format as Cell<br>Formatting → Table → Styles →<br>Styles                              | * * | lete Form | nat | AutoSur<br>Fill <del>*</del><br>Clear <del>*</del> | Z<br>Sor | * & Find &<br>er * Select * | ~                    |
| Priorities related to<br>improving the natural<br>environment  | Nature is being affected by changes in climate and impacts<br>such as the spread of pests and diseases have serious<br>consequences. Nature also has an important role to play in<br>helping people and places adapt to climate change – for<br>example by providing natural flood defences and cooling. | A2            | A                     |   | C<br>C<br>n Action within your organisation. The template lists a range of services/ dep  | D<br>artments that are commonly found in public sector organisations and gives examples of                                  | E   | F         | G   | Н  | I        | JI                          |                      |
| Priorities related to<br>transport, energy<br>or communications<br>infrastructure  | Investment in new or existing infrastructure is a major<br>opportunity to improve realience to the impacts of climate<br>change and avoid the cost of retrofitting at a later stage.   | <b>2</b><br>3 |                       | department/services relev                           |   | Action within your organisation Describe existing actions, plans or policies  |     |           |     |  |          |                             |                      |
| Priorities related to<br>improved access to<br>education and educational<br>attainment                                   | Investment in education facilities such as new schools or<br>building refurbishments should be designed with future<br>climate in mind so that these facilities are not affected by<br>flood risk, overheating or damage from severe weather.  | 4             |                       |   | Examples of how the service/ department may be offected by climate change   | that support adaptation across different services. You may wish to list or link to<br>relevant plans/ policies/ strategies. |     |           |     |  |          |                             |                      |
| Priorities related to<br>Improving health  | The impacts of climate change and our action to adapt has<br>the potential to have negative and positive impacts on health.  | 6             |                       | Planning  | Climate impacts such as flood risk and coastal change may affect different<br>locations and communities. Is adaptation included in processes such as spatial<br>and development planning?   |   |     |           |     |  |          |                             |                      |
| Priorities related to<br>community regeneration  | Planning and investment in community regeneration is a<br>major opportunity to implement measures that will enable<br>these locations to adapt to the impacts of climate change.   | 7             |                       | Economic development                                | Disruption to supply chains and opportunities for adaptation services could affect<br>investment and business operations in your area. Has this been factored in to<br>economic development plans?  |   |     |           |     |  |          |                             |                      |
| Priorities related to<br>business and economic<br>development  | Business supply chains, assets and infrastructure are<br>vulnerable to the impacts of climate change. It is important to<br>consider how industry sectors relevant to your organisation/<br>location may be affected and factor this in to work to<br>support business and economic development.         | 9             |                       | Housing/Building/<br>facilities/asset<br>management | Increased severe weather events, rainfall and temperatures may impact building<br>fabric and the health and wellbeing of residents. Whether retrofitting existing or<br>building new, it is likely that there will be issues with water management (in flood ar<br>drought), weather resistance and overheating. Has this been factored in to housi<br>investment and strategies?   |   |     |           |     |  |          |                             |                      |
|  |  | 10            |                       | Grounds/estate<br>management<br>Transport           | Increased risks of pests and diseases, water logging of greenspaces and wind<br>throw of trees are just a few of the challenges increasingly affecting our natural<br>environment. How is your organisation protecting nature and working with nature t<br>help society to adapt?<br>Disruption caused by landslides, flooding, and severe weather regularly disrupt  |   |     |           |     |  |          |                             |                      |
|  |  |               | < ▶                   | Introduction  | and performance in the second   | at 3 Links org priorities and CC4 (+) : [4]   |     |           |     |  |          |                             | •                    |







#### Adaptation Capability Pranswork Starter Pack 🤤



## **Starter Pack**

## Benchmarking your adaptation progress

Congratulations - you have now successfully completed the first stage of the Advetation Cacability Framework!

Before continuing your adaptation work and undertaking further tasks within the Adaptation Capability Framework you should bonchmark where your organisation currently sits within the Framework

Taking stock of your organisation's current progress with adaptation is an essential step to inform the scope of future work and to identify strengths and weaknesses to your existing approach. The aim of banchmarking is to:

- Describe your organisation's current adaptation capabilities and provide a baseline from which progress may be measured
- Illustrate what you've already done on adaptation, potentially highlighting which capability areas the organisation is excelling at and which areas need further work
- Identify and understand where improvement is needed and measure and identify opportunities for improvement

### Take action

Adaptation Scotland has developed a benchmarking tool based around the four capabilities included in the Adaptation Capabilities Framework.

 Download and complete the benchmarking tool and accompanying instructions. The benchmarking tool includes a project planning tab to help schedule future work.





## Benchmarking



- The aim of benchmarking is to:
  - Describe your organisation's current adaptation capabilities and provide a baseline from which progress may be measured
  - Identify your current organisational position within the Adaptation Capability Framework
  - Illustrate what you've already done on adaptation and highlight where improvement is needed
  - Provide a way to understand and track ambitious change
  - Support project planning



## **Benchmarking tool**

assess progress and plan
 adaptation work.

























## Adaptation Capability Framework – Supporting Resources





### The Handbook

 introduction to climate change adaptation for Scotland's public sector.



### Interactive Adaptation Capability Framework

 access detailed task information, resources and case studies.



## **Online training modules**

 develop adaptation skills and expertise.



## Starter pack

 for organisations and individuals who are new to adaptation.



### Benchmarking tool

 assess progress and plan adaptation work.





## **Assessing risks**



#### UC3B Undertake strategic climate change risk assessment

A strategic risk assessment is used to evaluate climate risks across your organisation, or for key service / asset portfolios. This strategic 'scan' helps to understand the changing likelihood and consequence of a range of potential risks for your organisation. It enables you to prioritise climate risks, allowing you to better focus limited resources.



## Connecting climate risk and strategic priorities

Guide to strategic climate change risk assessments

#### UC3C Undertake project-level climate change risk assessment

A project-level risk assessment is focussed on climate risks to a specific project, policy, asset, or location. These are typically justified if they have been identified as 'at-risk' in a strategic assessment, provide a critical function of your organisation or are major investments/assets. The narrow scope allows for an appropriately detailed analysis of climate risks.



## A changing climate for development

A toolkit to support consideration of climate risks in built environment and infrastructure projects





## EAUC Adaptation Guidance







Adapting universities and colleges to a changing climate Making the case and taking action Using an existing organisational resilience framework to develop a **Climate Change Adaptation Plan** 

#### September 2019



Jane 2019













The Adaptation Scotland programme is funded by the Scottish Government and delivered by sustainability charity Sniffer.





#### Consider how adaptation fits with your organisation and its OC1A objectives

Identify how adaptation supports delivery of your organisation's strategic objectives. Use this to communicate why adaptation matters. You will need to consider where adaptation is best placed in your organisation and start to identify relevant groups and decision making processes.



#### UC1A Learn about Scotland's changing climate

An understanding of past climate trends and future projections is a necessary foundation for adaptation. The UK Climate Projections provide key messages and you can access localised climate information for a range of climate variables, including temperature and rainfall.

#### WT2C Develop communication and engagement activities with partners

Highlight and communicate shared priorities, climate risks, and ongoing adaptation actions with partners. Use this communication to emphasise the importance of your collective action, internally and externally.



#### Engage with colleagues to identify adaptation opportunities OC2B and potential 'champions'

Your organisation will (or could) be delivering adaptation through many of its functions. By actively engaging colleagues across your organisation you can identify opportunities to include adaptation in planned work – as well as key people who could become adaptation 'champions'.

#### Enterprise risk management

Enterprise risk relates specifically to risks to your university's stated objectives. Femiliarity with undertaking risk assessments is a critical skill to have in your working group.

It is often a good idea to integrate your approach for a climate-focused study with your organisation's existing enterprise risk management guidance (e.g. using similar oriteria to assess likelihood and severity).

#### Ability to interpret weather and climate data

An increasing amount of data is available to help us understand future climate: however, this can be deunting. Some familiarity working with trend and projection data would be ideal, but resources are available to bring you up to speed (see page 16).

#### Strategic planning

ideally your working team will include someone who is involved with your institution's strategic planning process and has strong links with senior leadership. This increases the likelihood that recommendations can be integrated into key plans and policies going forward.

#### Communication and awareness raising

Your institution's efforts around climate resilience will interest different stakeholders for different reasons. An important skill is clear and targeted communication across all levels, from officer level staff to senior leadership. Keep the message simple and consistenti

#### Enthusiasm and positivity!

Making the case for more action on climate resilience can be challenging. Understandably, some stakeholders you engage with may find the topic daunting, while others may see it as less important compared to competing priorities. Despite-this, it's important to stay positive and make sure to highlight the upside of taking action now!

#### Institutional knowledge

#### Critical functions and key existing risks

What are your institution's key products, services, systems and activities that could be affected by changes in climate?

What risks are already important to senior leadership?

#### Effects of past extreme weather events

How have past events affected your institution's operations? What have been the financial costs or other impacts?

incident registers, media reports and memories of long-standing staff can all be key sources of insight.

#### Key institutional stakeholders

Who relies on your organisation and vice-versa?

stakeholders need from your institution, or what you

#### Existing policies, plans and regulations

What relevant internal policies and plans could benefit from consideration of climate change?

Are there any specific policy or regulatory considerations within your local government area or devolved area of the UK (e.g. Climate Change (Sootland) Act: Puture Generations Act (Wales)?

#### Consider how your organisation's functions might be affected UC2B by climate change

Your organisation will have many functions that might be affected by climate change. To identify these you will need to engage with a diverse range of internal stakeholders to explore the connection between strategic and operational priorities and climate impacts.

#### Explore the impact of recent weather events on your UC2C organisation

Your organisation will have been affected by recent weather events. Exploring the consequences of specific events with colleagues is a way to explore dimate-related vulnerabilities in more depth. These can be useful narratives for raising awareness, as well as providing initial evidence of potential costs in some cases.



#### Identify key internal PI1C

Planning adaptation will require you to interact with stakeholders from across your organisation. Develop an engagement plan that identifies key people based on of on-going adaptation action.

## stakeholders for adaptation

emerging organisational priorities and areas



#### PIIA Identify actions already delivering adaptation

Your organisation will already be taking actions that deliver adaptation, even if they are not always recognised. By identifying some of these actions you can demonstrate alignment with your organisation's functions – and show that you have already begun an adaptation journey.

#### PI1B Consider how you contribute to Scotland's adaptation outcomes

The public sector has a key role in delivering the Scottish Climate Change Adaptation Programme, which sets strategic adaptation outcomes for a Scotland that is dimate ready. Show how your organisation fits in the bigger picture by considering how you might contribute to delivering these outcomes.

How could a changing climate affect what your can provide?

## Conclusion

- The Adaptation Capability Framework is an innovative and holistic approach to adaptation.
- Adaptation guidance needs to support risk assessment processes, whilst recognising its place in wider adaptation action and capacity building activities.
- Adaptation capabilities identified are rooted in local Scottish cultures, values and decision-making processes.
- Let us know how you find using the Framework in practice and please get in touch if you have any questions – ask now or contact us at AdaptationScotland@sniffer.org.uk















## Thanks!

# If you have any further questions, contact us at AdaptationScotland@sniffer.org.uk



