

CODE OF CONDUCT REVIEW

- Previous version agreed March 2020
- New version 2021b: Review to make minor changes and get UKUPC consortia a chance to feed back prior to adoption
- UKUPC consortia agreed to adopt and will start to roll out

General changes:

- ➤ Wording 'shall' to 'must'
- Improved readability of clauses
- Added clauses on water
- Updated clause on Climate Change

A LOOK AT THE CODE OF CONDUCT ...

Preamble:

We* are committed to carrying out procurement activities in an environmentally, socially, ethically and economically responsible manner and to entering into agreements and contracts with suppliers that share and adhere to our vision. The standards in this Code are derived from established global conventions and standards, including the UN SDGs, ETI Base Code and ILO Conventions.

To demonstrate this commitment, current and potential suppliers are asked to commit to Responsible / Sustainable procurement within their organisations and to acknowledge their compliance with the principles of the Sustain Supply Chain Code of Conduct, below, with respect to their organisation and their supply chain (reference to "Suppliers" in this code means Suppliers and their supply chains).

*The organisation issuing the Sustain SC CoC as part of their activities

AREAS COVERED IN THE CODE OF CONDUCT









Social Compliance

Working Environment and Terms

Ethical Compliance & Economic Development

Environmental Compliance

CLAUSE ON GLOBAL CLIMATE & ECOLOGICAL EMERGENCY

4.7 HE and FE institutions are increasingly setting ambitious targets encompassing all three scopes of the Greenhouse Gas Protocol, often specifying 2030 as their net zero target, and require their suppliers to support them in this task. The Supplier must support the aims of the sectors in reducing the climate emission impact of their supply chains, including having clear and verifiable plans and actions in place, where to do so is reasonable and proportionate to the nature of the goods and services provided.

SUPPLIER COMMITMENT

I, the undersigned, acting as a representative of the Company, hereby commit to Responsible / Sustainable procurement and confirm that the Company adheres to the Sustain Supply Chain Code of Conduct and shall ensure its supply chain adheres to this Code of Conduct also, in order to promote sound social, ethical, environmental and economic practises.

ACCESS TO THE UPDATED VERSION ...



APUC WEBSITE



SUSTAINABILITY EXCHANGE

- *Known as SCM Responsible Procurement Section 2 Supply Chain Evaluation Tool (or short: SCM RP Section 2)
- Due diligence tool with a social audit theme
- Dedicated supplier Portal (University and Colleges Supplier Portal UCSP)
- Link through the SCM system
- Sustain Equiano Question Set based on requirements within the UN Global Compact, ETI Base Code and the ILO Core Conventions reaching beyond legal UK requirements
- *Aim is to work with suppliers to improve their current practices

SUPPLY CHAIN EVALUATION TOOL

SOCIAL AUDIT DEFINITION

"A social audit is a way of measuring, understanding, reporting and ultimately improving an organization's social and ethical performance. A social audit helps to narrow gaps between vision/goal and reality, between efficiency and effectiveness. It is a technique to understand, measure, verify, report on and to improve the social performance of the organization."

SUPPLIER ASSESSMENT SCORES



Not pass / fail

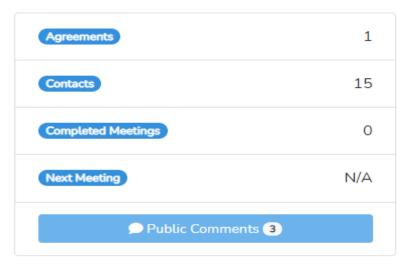


Visualization of the supplier's journey towards best practice



Red and Amber: Guidance and Follow up via Contract Management Process.

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Contacts	Agreements	Meetings	Documents	Profile	Sustain
Name & Position	Phone Numbers	Email Address		Current Status	Actions
Colin Lyons		colinlyons@alphaofficef	urniture.com	Inactive	Actions ▼
Jill Robertson Sales Administrator		salesscotland@alphaoff	icefurniture.com	Inactive	Actions ▼
Mary McStravick Credit Controller		mary@alphaofficefurnitu	ure.com	Inactive	Actions ▼
Paul McQuillan Financial Director		paul.mcquillan@alphaof	ficefurniture.com	Last Login Wed, Jan 27, 2021 11:09 AM	Actions ▼

Universities and Colleges Supplier Portal

This portal is the central hub for appointed suppliers of member institutions and APUC UKUPC partners to provide requested supply chain management information.

Section 1 - Basic Corporate Data

As a key supplier, we ask you to keep up-to-date essential information on your company profile on the portal, which allow us to get an insight into your company's staffing and commitment to preventing modern slavery and climate change.

Section 1 (Basic Corporate Data) of the Supply Chain Management Tool asks questions in three areas:

- General Information
- Staffing details
- · Company Ethics and Environmental Compliance

Please access Section 1 to provide requested information.

For any queries, please contact your Contract Manager.

Section 2 - Sustain Supply Chain Evaluation

As a key supplier in our supply chain, you are being asked to provide information in Section 2 (Sustain Supply Chain Evaluation), which is a statement based questionnaire assessing your compliance against the Sustain Supply Chain Code of Conduct.

Please access Section 2 to provide requested information.

For any queries, please contact your Contract Manager.

Section 1 - Basic Corporate Data

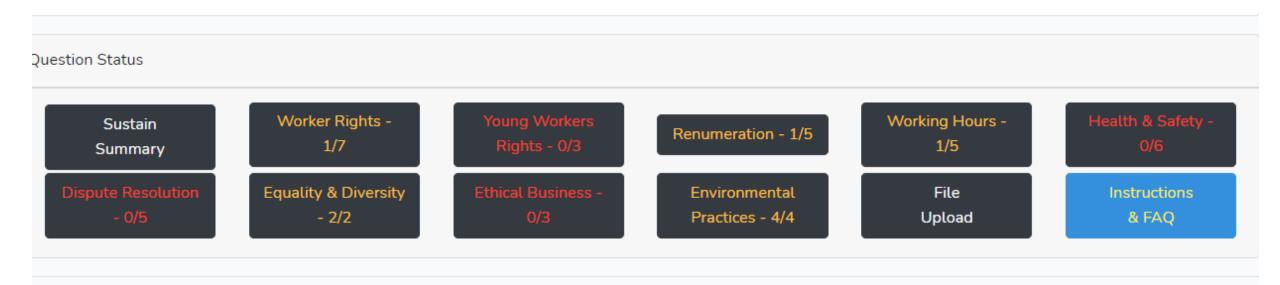
Section 2 - Sustain Specialist Assessment

Proceed to Section 1

Proceed to Section 2

For more instructions and to view the FAQ, please click the button below (Page will load in a new window).

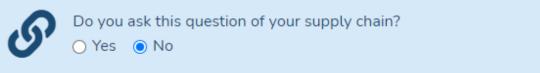
Instructions & FAQ





Workers are free to terminate their employment on reasonable notice (i.e. not longer than the notice the employer has to terminate the employment relationship).





Textbox: Please provide a clear statement on notice arrangements for all types of Workers and the notice the employer gives to them. Reference page/paragraph within stated numbered evidence document(s).

Attach Documents numbered "1.2": Evidence of terms of employment for all Workers and roles within the company.

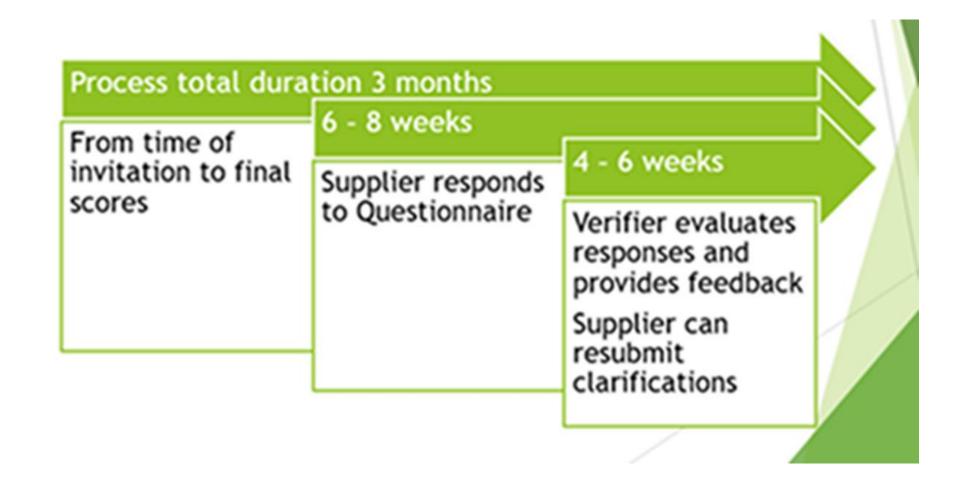
Note: Failing to provide clear reference of evidence in attached documents will result in evidence not being accepted and resulting in a RED Score (where applicable).

Supplier Response Textbox

Validator Response



SECTION 2 — PROCESS



CONTACTS

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Amy Ledger, Sustainability Specialist (<u>aledger@apucscot.ac.uk</u>)